Analysis of Forearm Muscle Activity Aiming at Prevention of Refractory Tennis Elbow: Comparison of One-Handed Backhand Stroke Form

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Abstract

The cause of tennis elbow depends on the playing style, and the incidence is high in players who perform one-handed backhand strokes. Complication of tennis elbow with impairment of the synovial folds results in refractory tennis elbow and when it aggravates to a severe state, surgical treatment is required. In this study, aiming at the prevention of refractory tennis elbow, activities of the forearm muscles were compared between one-handed backhand stroke forms with the forearm in the median and supinated positions to investigate the possibilities of the two forms damaging the elbow joint. Inverse dynamics of nineteen forearm muscles in one-handed backhand stroke motions were analyzed in a subject who overcame lateral elbow tendinopathy. The maximum voluntary contraction and changes in the elbow joint flexion angle were compared between the neutral form with little forearm supination and a form with forearm supination to the range of motion. The maximum voluntary contraction at the peak of the supinator was 28% in the neutral form and 48% in the supinated form. It was clarified that the elbow joint flexion angle markedly changed within a short time in the supinated form compared with that in the neutral form. In one-handed backhand stroke motions, the supinator was the main muscle that produced maximum voluntary contraction. In the supinated form, the elbow joint flexion angle markedly decreased compared to that in the neutral form. In fully swinging a one-handed backhand stroke, a form setting the forearm in the median position may reduce the risk of refractory tennis elbow compared with that in the supinated position.

Introduction

Tennis elbow, i.e., lateral elbow tendinopathy, is a degenerative tendinopathy in which pain develops in the elbow due to repeated tennis strokes and it is reported to be readily caused by one-handed backhand strokes [1,2]. However, the developmental mechanism of lateral elbow tendinopathy has not been elucidated, and pathologically, it is reported to be multifactorial [3]. Studies on clinical findings clarified the area in which lateral elbow tendinopathy-induced pain develops [4-6]. The area is the origin of the extensor and 6 muscles attached to the origin, the lateral epicondyle: the extensor digitorum communis, extensor digiti minimi, supinator, extensor carpi ulnaris, extensor carpi radialis brevis and extensor carpi radialis longus [7].

The incidence of this tendinopathy in tennis players is high (30-50%), and more than 60% of professional tennis players have experienced this tendinopathy [8,9]. Its cause depends on their playing style and it more frequently occurs in players who perform backhand strokes with one hand than in those with both hands [10-12]. Regarding age, a high incidence in the elderly was reported [13].

In one-handed backhand stroke motions, all forearm muscles, from superficial to deep layer, are used. The muscles present in the superficial layer of the forearm can be measured by electromyography, but those in the deep layer are difficult to measure. Many biomechanical studies on tennis elbow have been performed, but only a few studies investigated the deep layer muscle activity level in players who experienced tennis elbow [2,9-11,14,15]. There are means to simulate the deep layer muscle activity level by which the maximum muscle activity level is calculated by dividing the muscle tension by the maximum muscle strength estimated from the height and body weight of the player, for which the motion capture technique is necessary to closely analyze motions and a musculoskeletal model is prepared using this technique. By analyzing inverse dynamics of this musculoskeletal model, an important index of strength of each muscle, the Maximum Voluntary Contraction (MVC), can be closely analyzed [16].
In this study, inverse dynamics of nineteen forearm muscles were analyzed in one-handed backhand stroke motions in a subject who overcame lateral elbow tendinopathy and MVC and changes in the elbow joint flexion angle were calculated in two forms of full swing backhand stroke: a neutral form with little forearm supination (f1) and a form with forearm supination to the range of motion (f2), to investigate which of the two forms may serve as a factor preventing tennis elbow.

**Methods**

This study was performed after approval by the Research Ethics Committee of Kitasato University School of Allied Health Sciences (2015-015). The subject was a man playing tennis for 30 years (age: 65 years old, height: 160 cm, weight: 55 kg). He mainly performed deskwork in his occupation, his tennis performance was advanced, and he held a racket with his dominant hand. He previously had elbow pain at 60 years old and was diagnosed with lateral elbow tendinopathy. It completely resolved after one-year observation (61 years old). No drug, orthotic, or surgical treatment was performed throughout the one-year period with persistent pain, and he continued playing tennis with a form causing no pain (supination of the forearm was avoided as much as possible). In the form before the development pain, the forearm was supinated to the range of motion (f2).

To acquire images using optical motion capture with Vicon Motion Systems VICON 512 (Vicon Motion Systems Ltd., UK), markers were attached to the subject following the Vicon Plug-in-Gait marker set (Vicon Plug in Gait Manual, 2003). Forty-three markers were attached to the subject following the Vicon Plug-in-Gait marker set (Vicon Plug in Gait Manual, 2003) and the anatomical standing position was regarded as a reference posture.

For inverse dynamic calculation of tensions of the muscles required for multi-joint movement, musculoskeletal modeling software, Anybody Modeling System ver. 6.0.4 (Anybody Technology A/S, Denmark), was used [17]. The racket weight and moment of inertia were not considered in the inverse dynamic analysis. The tensions of the forearm muscles, f(M), during f1 and f2 movements were calculated and the muscle activity, G (f(M)), of each muscle was calculated by dividing the calculated muscle tension by the maximum muscle strength, Ni, estimated from the height and body weight [18].

\[
G(f(M)) = \frac{f(M)}{N_i}
\]

This algorithm introduced the min/max criterion for simulation of muscle recruitment in multiple muscle systems. The criterion was justified by comparison to two known criterion types: the polynomial criterion and the soft saturation criterion. The comparison was performed on a planar three-muscle elbow model. The musculoskeletal model comprised approximately thousand muscle fiber bundles, and thirty-four of them were adopted for analysis of the forearm muscles. The targets were nineteen muscles, including the ECRB, Flexor Carpi Ulnaris (FCU), brachioradialis and supinator (Table 1).

**Results**

The maximum muscle activity level was higher in f2 than in f1 in ten of the nineteen muscles. Among the forearm muscles, the highest maximum muscle activity level was detected in the Extensor Carpi Radialis Longus (ECRL) followed by the ECRB (Figure 2). Then, the abductor pollicis longus, FCU and pronator quadratus exhibited a similar maximum muscle activity level among the forearm muscles. The peak ECRB activity level was markedly high in both f1 and f2, exceeding the maximum level (Figure 3).

Muscles with a markedly high activity level in f2 compared with f1 included the supinator and FCU. The peak level of the supinator was 28% in f1, but 48% in f2 (Figure 4). The peak level of the FCU was 50% in f1 and 70% in f2 (Figure 5).

Regarding changes in the elbow joint flexion angle on the dominant side in f1, the angle gradually decreased from 0.5 s, extending the elbow joint, and reached the impact at 1.0 s with 35° flexion (Figure 6a). In f2, the elbow joint was bent from 40° to 10° and reached the impact after slightly retuning at 0.8 s to 35° flexion (Figure 6b). It was clarified that the elbow joint flexion angle markedly changes within a short time in f2 compared with that in f1.
Table 1: Nineteen forearm muscles analyzed and their anatomical positions.

<table>
<thead>
<tr>
<th>Muscles of the forearm</th>
<th>Anatomical position</th>
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<tbody>
<tr>
<td>pronator teres</td>
<td>Proximal muscles</td>
</tr>
<tr>
<td>flexor carpi radialis</td>
<td>first layer</td>
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<tr>
<td>palmaris longus</td>
<td>first layer</td>
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<tr>
<td>flexor carpiulnaris</td>
<td>first layer</td>
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<tr>
<td>brachioradialis</td>
<td>first layer</td>
</tr>
<tr>
<td>flexor digitorum superficialis</td>
<td>second layer</td>
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<tr>
<td>flexor digitorum profundus</td>
<td>third layer</td>
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<tr>
<td>flexor pollicislongus</td>
<td>third layer</td>
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<tr>
<td>pronator quadratus</td>
<td>forth layer</td>
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<tr>
<td>extensor carpiulnaris</td>
<td>superficial layer</td>
</tr>
<tr>
<td>extensor carpi radialis brevis</td>
<td>Distal muscles</td>
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<tr>
<td>extensor carpi radialis brevis</td>
<td>superficial layer</td>
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<tr>
<td>extensor digitorum</td>
<td>superficial layer</td>
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<tr>
<td>extensor digiti minimi</td>
<td>deep layer</td>
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<tr>
<td>supinator</td>
<td>deep layer</td>
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<tr>
<td>extensor indicis</td>
<td>deep layer</td>
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<tr>
<td>extensor pollicislongus</td>
<td>deep layer</td>
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<tr>
<td>extensor pollicis brevis</td>
<td>deep layer</td>
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<tr>
<td>abductor pollicis longus</td>
<td>deep layer</td>
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Figure 2: Maximum activity levels of nineteen forearm muscles.

Figure 3: Muscle activity of the ECRB. The solid and dotted lines represent f1 and f2, respectively.

Figure 4: Muscle activity of the supinator. The solid and dotted lines represent f1 and f2, respectively.

Figure 5: Muscle activity of the FCU. The solid and dotted lines represent f1 and f2, respectively.
The ECRL originates from the lateral intermuscular septum located at the lateral supracondylar ridge over the lateral epicondyle of the humerus and it is present in the shallow layer of the posterior forearm [19,20]. The ECRL is the prime mover of extension and radial deviation of the wrist joint, and this may have been the reason why the highest muscle activity level was detected on extension of the wrist joint to adjust the plane of the racket among the forearm muscles.

Nirschl et al. defined the pathology of lateral elbow tendinopathy as chronic vascular fibrous tendinosis of the ECRB attached to the lateral epicondyle of the humerus [21]. Yu et al. reported that the possibility of developing tendinopathy increased with an increase in MVC [22]. MVC exceeded the maximum muscle activity level in the medial collateral ligament in baseball forms causes medial baseball elbow [23]. The FCU activity level was higher in f2, suggesting that repeating the f2 form increases the load on the FCU, and loss of auxiliary function for the medial collateral ligament may secondarily cause tennis elbow. As the muscle activity level of the FCU increases, the auxiliary function for the medial collateral ligament is lost, which may be a secondary factor inducing tennis elbow.

At the time of impact, the elbow was bent slightly in f1 and largely in f2. The supinator is one of the main muscles used in flexion. MVC of the supinator was higher in f2 than in f1. As the time interval was the same in f1 and f2, the elbow was rapidly bent in f2. It was assumed that the ball impact has a negative influence on the muscle when the elbow flexion angle is large, i.e., the muscle activity level is high compared with that when the elbow flexion angle is small, i.e., the muscle activity level is low.

The result was based on analytical data, for which verification by comparison with measured values is desirable to evaluate the reliability. It may be investigated by preparing a model with muscle fibers accurately simulating the origin and insertion in the forearm bone and performing an experiment of forearm supination. As a limitation, this study was performed involving only one subject. The f1 was a rare form devised to reduce pain by the subject who experienced tennis elbow. The subject was limited to this person because it was difficult for other tennis players to perform the same form; however, to secure the validity of the findings, it is necessary to collect many subjects with experience of tennis elbow and analyze a one-handed backhand stroke form preventing pain in each subject.

**Conclusion**

Inverse dynamics of the nineteen forearm muscles in one-handed backhand stroke motions were analyzed in a subject who overcame lateral elbow tendinopathy. MVC and changes in the elbow joint flexion angle were calculated in two forms: a neutral form with little forearm supination in a full swing of a one-handed backhand stroke and a form with more forearm supination compared with that in the neutral form, to investigate which of the two forms may serve as a factor inducing no tennis elbow. In the one-handed backhand stroke motions, MVC was lower in the neutral form with little forearm supination, suggesting that the neutral form reduces the risk of tennis elbow compared with the form with forearm supination. It was clarified that the elbow joint flexion angle markedly changes upon impact in the form with supination.

**Figure 6** Changes in the elbow joint flexion angle of the dominant hand. The dotted line represents the time-point of impact.
Acknowledgments

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References